

Schools Financial Services Compliance Comparison 2013-14 to 2015-16

Total schools tested:	2013-14 100	2014-15 101	2015-16 96	Excludes four PRU's
Total questions within each compliance visit	109	106	104	
If process/procedure not in place:				
Total number of HIGH recommendations	61	61	58	
Total number of MEDIUM recommendations	48	45	46	
Total number of processes/procedures tested in all schools	10900	10706	9984	
Total number of processes/procedures not in place for all schools tested	1875	1201	1082	
Average % processes/procedures NOT in place	17%	11%	10%	

High recommendations by Category	2013-14					2014-15					2015-16			
	Total Questions Per School	Total Questions	Processes Not in Place	%		Total Questions Per School	Total Questions	Processes Not in Place	%		Total Questions Per School	Total Questions	Processes Not in Place	%
Governance & Leadership	8	800	151	18.88%		7	707	59	8.35%		6	576	28	4.86%
School Development Plan	2	200	3	1.50%		2	202	2	0.99%		2	192	1	0.52%
Financial Planning and Monitoring	12	1200	178	14.83%		12	1212	102	8.42%		11	1056	88	8.33%
Payroll	7	700	172	24.57%		7	707	106	14.99%		7	672	95	14.14%
Procurement	7	700	123	17.57%		7	707	92	13.01%		7	672	108	16.07%
Corporate Cards	4	400	74	18.50%		4	404	56	13.86%		4	384	83	21.61%
Bank Account and Petty Cash	5	500	52	10.40%		5	505	23	4.55%		5	480	46	9.58%
Income	5	500	52	10.40%		5	505	23	4.55%		5	480	21	4.38%
Assets and Loans	5	500	136	27.20%		6	606	83	13.70%		5	480	76	15.83%
Data Protection	5	500	39	7.80%		5	505	13	2.57%		5	480	4	0.83%
Health & Safety	1	100	22	22.00%		1	101	26	25.74%		1	96	23	23.96%
	61	6100	1002			61	6161	585			58	5568	573	

Medium recommendations by category														
Governance & Leadership	11	1100	218	19.82%		9	909	138	15.18%		10	960	119	12.40%
School Development Plan	1	100	30	30.00%		1	101	20	19.80%		1	96	15	15.63%
Financial Planning and Monitoring	8	800	132	16.50%		7	707	77	10.89%		7	672	78	11.61%
Payroll	4	400	60	15.00%		4	404	30	7.43%		3	288	27	9.38%
Procurement	7	700	164	23.43%		7	707	120	16.97%		7	672	94	13.99%
Corporate Cards	1	100	20	20.00%		1	101	7	6.93%		1	96	7	7.29%
Bank Account and Petty Cash	5	500	52	10.40%		5	505	27	5.35%		5	480	41	8.54%
Income	3	300	26	8.67%		3	303	13	4.29%		3	288	11	3.82%
Assets and Loans	2	200	24	12.00%		2	202	31	15.35%		3	288	36	12.50%
Data Protection	1	100	6	6.00%		1	101	0	0.00%		1	96	2	2.08%
Health & Safety	5	500	141	28.20%		5	505	153	30.30%		5	480	79	16.46%
	48	4800	873			45	4545	616			46	4416	509	